

APPLICANT: EJIRI, Hirokazu
SERIAL NO. Not yet assigned
FILING DATE: February 28, 2002
INVENTION: "Semiconductor Device and Its Fabricating Method"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
10/069901
JC19 Rec'd PCT/PTO 28 FEB 2002
ATTORNEY DOCKET NO. 09792486-0115
GRANT UNIT: Not yet assigned
EXAMINER: Not yet assigned

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

HON. COMMISSIONER OF PATENTS
Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$_____ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By David R. Metzger Reg. 32,919
David R. Metzger
Sonnenschein, Nath & Rosenthal
P.O. Box 061080
Wacker Drive Station, Sears Tower
Chicago, Illinois 60606
Telephone (312) 876-8000

When phoning re this application,
please call (312) 876-8000

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Comm. for Patents, Box PCT; Washington, DC as Express Mail bearing Label No. EM143603125US on February 28, 2002.

Paula M. Theismann
Paula M. Theismann

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

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SERIAL NO.:	Not yet assigned	GROUP ART UNIT:	Not yet assigned
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INVENTION:	"Semiconductor Device and Its Fabricating Method"		

Hon. Commissioner for Patents

Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. § 1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

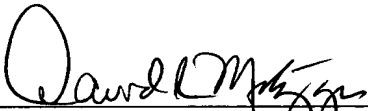
I. SUBMITTED FOREIGN PATENT REFERENCES

<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
JP 2001-185687 (English abstract only)	JP	July 6, 2001
JP 11-274409 (English abstract only)	JP	October 8, 1999
JP 11-317498 (English abstract only)	JP	November 16, 1999
JP 05-095048	JP	April 16, 1993

II. EXPLANATION OF RELEVANCE

The above-identified references were cited in Japanese Search Report of September 21, 2001 in counterpart PCT/JP01/05663. A copy of the Search Report is submitted herewith.

Submitted by,

 (Reg. 32,919)

David R. Metzger

SONNENSCHN NATH & ROSENTHAL

P.O. Box 061080

Wacker Drive Station

Sears Tower

Chicago, IL 60606-1080

Telephone: 312/876-8000

Attorneys for Applicant(s)

Group Art Unit: Not yet assigned

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*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.